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Assistant Commissioner for Patents Washington, D.C. 20231

**ATTN: BOX PCT** 

Re:

Application of Takafumi ATARASHI and Katsuto NAKATSUKA

**MULTILAYER-COATED POWDER** 

Our Reference: Q52648

PCT/JP97/01939, filed June 6, 1997

Dear Sir:

Applicants herewith submit the attached papers for the purpose of entering the National stage under 35 U.S.C. § 371 and in accordance with Chapter II of the Patent Cooperation Treaty. Attached hereto is the application identified above which is a translation of PCT International Application No. PCT/JP97/01939, filed June 6, 1997, comprising the specification, claims, drawings, International Search Report, replacement pages in the English translation of the Japanese specification originally filed, Amendment under Article 34 and foreign language International Preliminary Examination Report with annex pages. The executed Declaration and Power of Attorney and Assignment will be submitted at a later date.

The Government filing fee, after consideration of the attached Amendment under Article 34, is calculated as follows:

Total Claims	19 - 20 =	$0 \times $18 =$	\$ 000.00
Independent Claims	1 - 3 =	$0 \times $78 =$	\$ 000.00
	(\$840.00)		\$ 840.00
Base Filing Fee	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		\$ 260.00
Multiple Dep. Claim Fee	(\$260.00)		\$ 1100.00
TOTAL FILING FEE			\$ 1100.00

The Office is authorized to charge the statutory filing fee of \$ 1,100.00 to Deposit Account No. 19-4880 and is also directed and authorized to charge or credit any difference or overpayment to Deposit Account No. 19-4880. The Commissioner is hereby authorized to charge any fees under 37 C.F.R. 1.16 and 1.17 and any petitions for extension of time under 37 C.F.R. 1.136 which may be required during the entire pendency of the application to Deposit Account No. 19-4880. A duplicate copy of this transmittal letter is attached.

Priority is claimed from:

Japanese Patent Application Filing Date June 10, 1996 Hei. 8-147417 June 10, 1996 Hei. 8-147418 June 10, 1996 Hei. 8-147421

> Respectfully submitted, SUGHRUE, MION, ZINN, MACPEAK & SEAS Attorneys for Applicant(s)

> > Reg. No. 24,861

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## DESCRIPTION

# MULTILAYER-COATED POWDER

### TECHNICAL FIELD

The present invention relates to a multilayer-coated powder and a pigment and material for a cosmetic. More particularly, this invention relates to a pigment powder for use in a color ink and as a filler for plastics/papers; and a material for color cosmetics which comprises a lightweight powder.

### BACKGROUND ART

present inventors previously invented The powder comprising a base particle of a metal or metal compound and having thereon a metal oxide film which has an even thickness from 0.01 to 20  $\mu m$  and in which the metal contained therein is different from the metal constituting the base particle in order to provide a powder which combines properties inherent only in the metal particle or metal compound particle with other properties so as to have a combination of functions (Unexamined Published Japanese Patent Application No. 6-The present inventors further improved the 228604). above powder and invented a powder comprising a base particle having not a single layer of a metal oxide but plural layers of a metal oxide film and a metal film alternatively (Unexamined Published Japanese Patent Application No. 7-90310).

For producing these powders, it is necessary to form plural metal oxide films each having an even thickness over a base particle. Since it is difficult to deposit a metal oxide or a metal compound as a precursor therefrom an aqueous metal salt solution, the

present inventors developed a method which comprises dispersing the base particle into a metal alkoxide solution and hydrolyzing the metal alkoxide to thereby form a metal oxide film on the base particle. Due to this method, it has become possible to form a thin metal oxide film which is even in thickness and, in particular, the formation of a multilayered metal oxide film has become possible.

Attempts were made to use these multilayer-coated powders as powders combining properties inherent only in given a base particle with other properties so as to have a combination of functions, mainly in applications, such as pigments, and materials for cosmetics.

However, for use in inks, the powders comprising a metal or metal compound as a base have a problem that the powder particles are apt to precipitate in the liquid before use because of their large specific gravity and are difficult to disperse evenly. For use as a powder for inks, a filler for plastics, and the like, the powders should be colored. However, since use of a mechanochemical method results in pulverization of the pigment itself and, hence, in a reduced pigment particle diameter and a lighter color, it is necessary to use a dye or the like to color the powders. also is a problem that when the powders are used as a filler for plastics and subjected to kneading so as to dispersed into a resin, then powder particles be separate from the shells formed by a mechanochemical method and this may result in a color change.

With respect to materials for cosmetics, in particular, materials for emulsion-form cosmetics, there is a problem that the powders are difficult to use. In the case of a powder obtained by depositing particles of titanium oxide or the like on the particle surfaces of,

for example, a resin powder, there is a problem that powder particles separate from the surface coating shells during use to cause a color change.

Consequently, an object of the present invention is to eliminate these problems and provide: a pigment powder of a stable color tone which comprises a lightweight base particle and is capable of being colored without using a dye or pigment and with which not only a pigment for monochromatic color inks, e.g., blue, green, or yellow inks, can be obtained by dispersing the pigment powder into a fluid, but also a filler for plastics/papers can be designed; and a material for color cosmetics which is a powder with which monochromatic colored powders, such as mascaras or eyebrow pencils, can be designed and which is capable of absorbing ultraviolet and infrared rays harmful to the skin.

### DISCLOSURE OF THE INVENTION

The present inventors made intensive studies. As a result, they have found that a powder colored in a stable tone, such as blue, green, or yellow, can be obtained without a dye or a pigment by forming a thin film comprising plural layers differing in refractive index on the surface of a powder to regulate the

multilayered film with respect to reflected-light interference waveform. The present invention has thus been completed.

Specifically, the present invention can be achieved by the following means:

- (1) A multilayer-coated powder comprising a base particle having a specific gravity of 0.1 to 10.5 and having thereon plural coating layers which are different from each other in refractive index;
- (2) The multilayer-coated powder according to the above (1), wherein the base particle is a spherical or pulverized particle;
- (3) The multilayer-coated powder according to the above (1), wherein at least one of the coating layers is an inorganic metal compound layer;
- (4) The multilayer-coated powder according to the above (3), wherein the inorganic metal compound layer is a metal oxide film layer;
- (5) The multilayer-coated powder according to the above (4), wherein at least one layer of the metal oxide films is formed by hydrolysis of a metal alkoxide.
- (6) The multilayer-coated powder according to the above (4), wherein at least one layer of the metal oxide films is formed by a reaction of an aqueous solution of a metal salt.
- (7) The multilayer-coated powder according to the above (1), wherein at least one of the coating layers is a metal layer or an alloy layer;
- (8) The multilayer-coated powder according to the above (1), wherein at least one of the coating layers is an organic layer;
- (9) The multilayer-coated powder according to the above (1), wherein the thickness of each unit of the coating layer is determined by fixing a fundamental film thickness thereof which satisfies the following equation (1):

$$N \times d = m \times \lambda/4 \tag{1}$$

(wherein N represents a complex refractive index, d represents the fundamental film thickness, m represents an integer (natural number), and  $\lambda$  represents the

wavelength at which the interference reflection peak or interference transmission peak appears, and N is defined by the following equation (2):

$$N = n + i\kappa \tag{2}$$

(wherein n represents the refractive index of each unit coating layer, i represents complex number, represents extinction coefficient)), and correcting the actual thickness of the each unit of the coating layers based on the function of the phase shift caused by the extinction coefficient K of refractive index, the phase shift occurring at film interfaces, and the peak shift attributable to refractive index dispersion and particle shape so that the each unit of the coating layers has an reflection interference interference peak or an transmission bottom at the same specific wavelength;

- (10) A pigment powder, comprising the multilayer-coated powder according to any one of the above (1) to (8); and
- (11) A material for a cosmetic, comprising the multilayer-coated powder according to any one of the above (1) to (8).

In the present invention, the base particle having a specific gravity from 0.1 to 10.5, constitutes the base of the multilayer-coated powder, is not particularly limited and may be made of either an organic or an inorganic substance. The specific gravity this base is preferably from 0.1 to 5.5, preferably from 0.1 to 2.8, from the standpoints of If the base has a flowability and suspensibility. specific gravity below 0.1, the buoyancy of the base is so large that the film should be made to comprise a larger number of layers or to be exceedingly thick, which is uneconomical. On the other hand, if the base has a specific gravity exceeding 10.5, a thick film for suspending the base is necessary, which In addition, in the case of cosmetics and uneconomical. the like, such a powder having too large a particle diameter is unsuitable because it gives a sandy feeling.

For use in a pigment powder or a powder as a material for cosmetics, the base is preferably an

inorganic substance because it is not influenced by the heat of burning in the step of coating film formation which will be described later. On the other hand, for use in a powder for electrorheological fluids, the base is preferably an organic substance, e.g., resin particles, from the standpoint of dispersibility into liquids.

Examples of the resin particles include cellulose powders, cellulose acetate powders, and spherical or pulverized particles obtained from polyamides, epoxy resins, polyesters, melamine resins, polyurethanes, vinyl acetate resins, and silicone resins or obtained by the polymerization or copolymerization of acrylic esters, methacrylic esters, styrene, ethylene, propylene, and derivatives thereof. Especially preferred resin particles are spherical acrylic resin particles obtained by the polymerization of acrylic acid or a methacrylic ester.

Usable as the inorganic substance are inorganic hollow particles, such as Shirasu balloons (hollow silicic acid particles), fine hollow carbon particles (Kureca Sphere), fused alumina bubbles, aerosil, white carbon, fine hollow silica spheres, fine hollow calcium carbonate spheres, calcium carbonate, pearlite, talc,

bentonite, kaolin, and the like.

In the multilayer-coated powder of the present invention, the plural coating layers formed on each base particle having a specific gravity from 0.1 to 10.5 should be different from each other in refractive index. The materials constituting these coating layers are desirably selected suitably from inorganic metal compounds, metals, alloys, and organic substances.

These coating layers each is formed as a dense and continuous film, whereby they can have excellent properties.

Typical examples of the inorganic metal compounds which may constitute the coating layers include metal oxides. Specific examples thereof include the oxides of iron, nickel, chromium, titanium, aluminum, silicon, calcium, magnesium, and barium and composite oxides of these metals, such as barium titanate and lead titanate. Examples of the metal compounds other than metal oxides include metal fluorides, such as magnesium fluoride and calcium fluoride; metal nitrides, such as iron nitride; sulfides, metal such as zinc sulfide and sulfide; metal carbonates, such as calcium carbonate; metal phosphates, such as calcium phosphate; and metal

carbides. In particular, silica or the like enables the multilayer-coated powder to have satisfactory properties.

Examples of the elemental metals which may constitute the coating layers include silver metal, cobalt metal, nickel metal, and iron metal. Examples of the metal alloys include iron-nickel alloys, iron-cobalt alloys, iron-nickel alloy nitrides, and iron-nickel-cobalt alloy nitrides.

The organic substances which may constitute the coating layers may be the same as or different from the aforementioned organic substances usable for constituting the base, and are not particularly limited. Examples of the resins However, resins are preferred. include cellulose, cellulose acetate, polyamides, epoxy polyesters, melamine resins, polyurethanes, vinyl acetate resins, silicone resins, and polymers or copolymers of acrylic esters, methacrylic esters, styrene, ethylene, propylene, and derivatives thereof.

Although various materials can be used to constitute the coating layers as described above, a suitable combination of materials should be selected according to the kind of a pigment or coating material, purposes, the substance to be coated, etc., while taking account of the refractive index of each coating layer.

If the powder is for use as a material for cosmetics, the coating layer as the outermost layer should, of course, be constituted of a material which is inert to the living body or which at least does not adversely influence the living body. Typical examples of such materials include titanium dioxide.

Titanium dioxide is thought to be effective also because it has the property of specifically absorbing ultraviolet waves. By using a metal film and a titanium their film suitably regulating and oxide film high reflectance having a powder thicknesses, a throughout the whole infrared region can be obtained and a UV- and IR-cutting cosmetic can be prepared therefrom.

If the coating layers contain one or more organic substance layers, the other layers each is preferably a metal oxide film.

In the present invention, the organic powder to be coated may have any particle shape. Although particles of irregular shapes, such as pulverized particles, can be coated and colored, spherical particles are especially preferred.

The particle diameter of the multilayer-coated powder of the present invention is not particularly limited, and can be suitably regulated according to purposes. However, the particle diameter thereof is

generally in the range from 0.01  $\mu m$  to several millimeters. If the powder is to be used for coloring as a coloring material based on visible light interference, the particle diameter thereof is preferably regulated to 0.06 to 1,000  $\mu m$ .

The unit coating layers constituting the plural coating layers are preferably ones whose thicknesses been determined so that these layers interference reflection peaks interference ortransmission bottoms at the same specific wavelength. More preferably, the thickness of each unit of the coating layer is determined by fixing a fundamental film thickness thereof which satisfies the following equation (1):

$$N \times d = m \times \lambda/4 \tag{1}$$

(wherein N represents a complex refractive index, d represents the fundamental film thickness, m represents an integer (natural number), and  $\lambda$  represents the wavelength at which the interference reflection peak or interference transmission peak appears, and N is defined by the following equation (2):

$$N = n + i\kappa \tag{2}$$

(wherein n represents the refractive index of each unit coating layer, i represents complex number, and  $\kappa$  represents extinction coefficient)), and correcting the actual thickness of the each unit of the coating layers based on the function of the phase shift caused by the extinction coefficient  $\kappa$  of refractive index, the phase

shift occurring at film interfaces, and the peak shift attributable to refractive index dispersion and particle shape so that the each unit of the coating layers has an interference reflection peak or an interference transmission bottom at the same specific wavelength as shown above.

For forming these films, the following methods may be used according to the substances to be deposited. However, other methods can be used.

- (1) Formation of Organic Substance Film (Resin Film):
- a. Polymerization in Liquid Phase

Use can be made, for example, of a method in which particles serving as a base are dispersed and emulsion polymerization is conducted to form a resin film on each particle.

- b. Film Formation in Vapor Phase (CVD) (PVD)
- (2) Formation of Inorganic Metal Compound Film:
- a. Solid Deposition in Liquid Phase

A preferred method is to disperse particles serving as a base into a metal alkoxide solution and hydrolyze the metal alkoxide to thereby form a metal oxide film on each particle. This method can form a dense metal oxide film. It is also possible to react an aqueous solution of a metal salt to thereby form a film of a metal oxide or the like on particles.

- b. Film Formation in Vapor Phase (CVD) (PVD)
- (3) Formation of Metal Film or Alloy Film:
- a. Reduction of Metal Salt in Liquid Phase

Use is made of the so-called chemical plating method in which the metal salt contained in an aqueous metal salt solution is reduced to deposit the metal to thereby form a metal film.

# b. Film Formation in Vapor Phase (CVD) (PVD)

A metal film can be formed on the surfaces of particles, for example, by the vapor deposition of a metal.

A method for forming a multilayered film composed layers of a metal oxide having a high refractive index and, alternately arranged therewith, layers of a metal oxide having a low refractive index is explained below in detail as an example. First, a base particle are dispersed into an alcohol solution of an alkoxide of titanium, zirconium, etc. A mixed solution comprising water, an alcohol, and a catalyst is added dropwise to the dispersion under stirring to hydrolyze the alkoxide to thereby form on the surface of each base particle a film of titanium oxide or zirconium oxide as a highrefractive-index film. Thereafter, this powder is taken by solid/liquid separation, dried, and then The drying may be subjected to a heat treatment.

conducted by any means selected from vacuum drying with heating, vacuum drying, and natural drying. It is also possible to use an apparatus, such as a spray dryer, in an inert atmosphere while regulating the atmosphere. The heat treatment may be accomplished by heating the powder at 150 to 1100°C (when the base particle is an inorganic particle) or at 150 to 500°C (when the base particle is not an inorganic particle) for 1 minute to 3 hours either in air when the coating composition does not undergo oxidation or in an inert atmosphere when the susceptible to oxidation. is composition coating Subsequently, the particles having the high-refractiveindex film formed thereon are dispersed into an alcohol solution of a metal alkoxide which gives an oxide having a low refractive index, such as a silicon alkoxide or A mixed solution comprising water, aluminum alkoxide. an alcohol, and a catalyst is added dropwise to the resultant dispersion under stirring to hydrolyze alkoxide to thereby form over the surface of each base particle a film of silicon oxide or aluminum oxide as a Thereafter, the powder is low-refractive-index film. taken out by solid/liquid separation, vacuum-dried, and then heat-treated in the same manner as the above. As a result of the above procedure, a powder is obtained in which the base particle has, on the surface thereof, two

layers comprising a high-refractive-index metal oxide low-refractive-index metal oxide film. a Furthermore, the above procedure for forming metal oxide films is repeated to thereby obtain a powder in which each particle has multiple metal oxide films on its Since the powder thus obtained has highrefractive-index metal oxide films alternately arranged with low-refractive-index metal oxide films as stated high reflectance and high hereinabove, has a it whiteness.

For forming a metal film on a base particle or on a metal oxide film, contact electroplating or sputtering may be used, besides the electroless plating described However, the contact electroplating has above. drawback that powder particles not in contact with an electrode are not plated, while the sputtering has a drawback that a metal vapor is not evenly applied to the Namely, the thickness of the coating powder particles. formed by either method varies from particle to particle. In contrast, the method of film formation by electroless plating is preferred in that a dense and even film can be formed and the film thickness is easy to regulate. The metal film is preferably subjected to a treatment after film formation in the same manner as for the metal oxide films.

The present invention will be explained below in more detail by reference to the drawings. Fig. 1 is a

sectional view diagrammatically illustrating the structure of a particle of a multilayer-coated powder according to the present invention. The particle comprises a base particle 1 having a specific gravity from 0.1 to 10.5 as a nucleus and, alternately formed on the surface thereof, two coating layers 2 and two coating layers 3 differing in refractive index from the layers 2.

A special function can be imparted by regulating the thicknesses of the coating films differing refractive index alternately formed on the surface of coating films For example, each base particle. differing in refractive index are alternately formed on each base particle so as to satisfy the following Namely, films which each is made of a equation (1). substance having a refractive index n and has thickness d corresponding to m (integer) times the value which is one-fourth a wavelength of visible light are formed in an appropriate thickness and number. result, the light having a specific wavelength  $\boldsymbol{\lambda}$  (the light utilizing Fresnel's interference reflection) reflected or absorbed.

$$nd = m\lambda/4 \tag{1}$$

This function is utilized as follows. An oxide film having such a thickness and refractive index as to

satisfy equation (1) with respect to a target wavelength of visible light is formed on the surface of each base particle, and this film is coated with an oxide film having a different refractive index. This procedure is conducted once or repeated one or more times to thereby form films which have a characteristic reflection or absorption wavelength width in the visible light region. the above procedure, the sequence of material deposition for film formation is determined in following manner. When the base has a high refractive a film having a low refractive index index, preferably formed as the first layer. In the reverse having a high refractive case, a film index preferably formed as the first layer.

controlled is Film thickness based on measurement in film which the change of optical thickness, which is the product of the refractive index of the film and the film thickness, is determined as flection waveform with a spectrophotometer or the like. The thickness of each layer is designed so that the reflection waveform conforms to the finally required example, if the unit coating waveform. For multilayered film have reflection constituting a waveform peaks at different positions as shown in Fig. 2, the powder is white. On the other hand, when the unit

coating films are regulated so that the reflection waveform peaks thereof are in exactly the same position as shown in Fig. 3, a monochromatic colored powder, e.g., a blue, green, or yellow powder, can be obtained without using a dye or pigment.

However, in the case of an actual powder, a design should be made while taking account of particle diameter and shape of the powder, the phase shift occurring at interfaces between film materials and the base particle material, the peak shift attributable to the wavelength dependence of refractive index, etc. If the thickness of the film formed on the base particle is easily explained, the Fresnel interference caused by parallel film formed on a parallel plane is designed under the conditions including the above equation (1) in which n has been replaced with N defined by the following equation (2). Even if a parallel film is formed on a parallel plane, extinction coefficient K is included in the refractive index N of the metal defined by equation (2) even though the particle shape is a plane parallel plate shape. In the case of transparent (dielectrics), K is exceedingly smalland oxides negligible.

$$N = n + i\kappa \tag{2}$$

(i represents a complex number)

When the extinction coefficient  $\kappa$  is large, an enhanced phase shift occurs at the interface between the film material and the base particle material, and this phase shift influences the optimum interference thicknesses of all layers of the multilayered film.

Because of the above, the mere regulation of geometrical film thicknesses results in different peak positions and, hence, in a lighter color especially in monochromatic coloring. In order to avoid this, a design is made beforehand through a computer simulation so as to result in an optimal combination of film thicknesses while taking account of influences of the phase shift on all films.

There also are the phase shift caused by an oxide layer present on a metal surface and the peak shift attributable to the wavelength dependence of refractive index. In order to correct these, it is necessary to use a spectrophotometer or the like to find optimal conditions under which reflection peaks or absorption bottoms appear at target wavelengths in a final target number of films.

In a film formed on a curved surface such as that of a spherical particle, interference occurs similarly to that on plane plates and is basically in accordance with Fresnel's interference principle. Consequently, a

coloring method can be designed so as to produce a white powder and a monochromatic powder as shown in Figs. 2 However, in the case of curved surfaces, the light which has struck on the powder and has been reflected causes complicated interference. The resultant interference waveforms are almost the same as on plane plates when the number of films is small. However, as the total number of films increases, the interference within the multilayered film becomes more complicated. In the case of a multilayered film also, a spectral reflection curve can be designed beforehand Fresnel interference through based on а computer simulation so as to result in an optimal combination of In particular, if coating films are film thicknesses. formed on the surface of each base particle, the influences of a phase shift on the base particle surface and on all films are taken in account when a design is made beforehand through a computer simulation so as to result in an optimal combination of film thicknesses. Furthermore, the peak shift caused by an oxide layer present on the base particle surface and the peak shift attributable to the wavelength dependence of refractive index are also taken in account. In the actual production of a sample, designed spectral curves are referred to and, in order to correct these in actual

films, it is necessary to use a spectrophotometer or the like, while changing film thicknesses, to find optimal conditions under which reflection peaks or absorption bottoms appear at target wavelengths in a final target number of films. Also if a powder having irregular particle shapes is colored, interference occurs due to the multilayered film. A basic film design is hence made with reference to conditions for an interference multilayered film for spherical particles. The peak position for each of unit coating films constituting the multilayered film can be regulated by changing the thickness of the layer, and the film thickness can be regulated by changing the solution composition, reaction time, and the number of starting-material addition times. Thus, the powder can be colored in a desired tint. described above, white and monochromatic powders can be finding optimal conditions under which obtained by reflection peaks or absorption bottoms appear at target wavelengths in a final target number of films, while changing film-forming conditions, such as solutions for film formation. Furthermore, by controlling combination of materials for forming a multilayered film and the thicknesses of the unit coating films, the color development by interference in the multilayered film can

be regulated. Thus, a powder can be colored in a desired bright tint without using a dye or pigment.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a sectional view diagrammatically illustrating the structure of a particle of a multilayer-coated powder according to the present invention; numeral 1 denotes a base particle, 2 a coating layer, and 3 a coating layer.

Fig. 2 is a graphic presentation showing reflection intensity spectral waveforms for the unit coating films constituting the multilayered film of a powder colored in white.

Fig. 3 is a graphic presentation showing reflection intensity spectral waveforms for the unit coating films constituting the multilayered film of a powder colored monochromatically.

### BEST MODES FOR CARRYING OUT THE INVENTION

The present invention will be explained below in more detail by reference to Examples. However, the invention should not be construed as being limited to these Examples only.

### EXAMPLE 1

Intended use: pigment powder

First layer: titania coating

To 10 g of an acrylic powder (average particle diameter, 1.5  $\mu m$ ; specific gravity, 1.4) was added 250 ml of ethanol to disperse the particles. The container was heated with an oil bath to keep the temperature of the liquid at 55°C. Thereto was added 3.5 g of titanium A solution This mixture was stirred. isopropoxide. prepared by mixing 30 ml of ethanol with 3.5 g of water was added dropwise to the above mixture over 60 minutes, and the resultant mixture was allowed to react for 2 This reaction mixture was diluted and washed hours. with a sufficient amount of ethanol and then dried with a vacuum dryer at 180°C for 8 hours. After the drying, titania-coated powder  $A_1$  was obtained. The titaniasatisfactory had obtained powder  $\mathbf{A}_1$ coated dispersibility and was composed of independent particles. This powder  $A_1$  had a spectral reflection curve having a peak wavelength of 455 nm and had a reflectance at the peak wavelength of 32%. It was pale-blue.

Second layer: silica coating

To 10 g of the titania-coated powder  $A_1$  was added 100 ml of ethanol to disperse the particles. The

container was heated with an oil bath to keep the temperature of the liquid at 55°C. Thereto were added 6 g of silicon ethoxide, ammonia water (29%), and 8 g of This mixture was allowed to react for 3 hours the reaction the reaction, After under stirring. mixture was diluted and washed with a sufficient amount of ethanol and then filtered. The solid matter obtained was dried with a vacuum dryer at 180°C for 8 hours. After the drying, silica-titania-coated powder  $A_2$  was The silica-titania-coated powder  $A_2$  obtained obtained. had excellent dispersibility.

# Third layer: titania coating

was added 250 ml of ethanol to disperse the particles. The container was heated with an oil bath to keep the temperature of the liquid at 55°C. Thereto was added 3.4 g of titanium isopropoxide. This mixture was stirred. A solution prepared by mixing 30 ml of ethanol with 3.4 g of water was added dropwise to the above mixture over 60 minutes, and the resultant mixture was allowed to react for 2 hours. This reaction mixture was diluted and washed with a sufficient amount of ethanol and then dried with a vacuum dryer at 180°C for 8 hours. After the drying, titania-silica-coated powder A was

obtained. The titania-silica-coated powder A obtained had satisfactory dispersibility and was composed of independent particles. This powder A had a spectral reflection curve having a peak wavelength of 448 nm and had a reflectance at the peak wavelength of 45%. It was blue.

The refractive index and film thickness of each of the first to third layers are shown in Table 1.

Table 1

Coating Layers	Refractive Index	Film Thickness (nm)
First layer: titania film	2.3	50
Second layer: silica film	1.45	99
Third layer: titania film	2.3	49

### EXAMPLE 2

Intended use: pigment powder

First layer: titania coating

A hundred grams of an acrylic powder (average particle diameter, 55 µm; specific gravity, 1.5) was placed in a lowly vacuum rotary mixing vessel in which a tungsten crucible containing a sufficient amount of a titania powder had been disposed beforehand in an upper part thereof. The crucible was heated to thereby

vaporize the titania while stirring the acrylic powder. Thus, the powder in the rotary mixing vessel was coated with titania to obtain titania-coated powder  $B_1$ . The titania-coated powder  $B_1$  obtained had satisfactory dispersibility and was composed of independent particles. This powder  $B_1$  had a spectral reflection curve having a peak wavelength of 545 nm and had a reflectance at the peak wavelength of 78%. It was pale-green.

Second layer: magnesium fluoride coating

Eighty grams of the titania-coated powder B1 was likewise placed in a lowly vacuum rotary mixing vessel in which a tungsten crucible containing a sufficient amount of a magnesium fluoride powder had been disposed The crucible was beforehand in an upper part thereof. heated to thereby vaporize the magnesium fluoride while stirring the powder,  $B_1$ . Thus, the powder in the rotary mixing vessel was coated with magnesium fluoride obtain magnesium fluoride-titania-coated powder B2. magnesium fluoride-titania-coated powder B2 obtained had satisfactory dispersibility and was composed of independent particles.

Third layer: titania coating

hundred grams of the magnesium fluoridetitania-coated powder  $B_2$  was placed in a lowly vacuum rotary mixing vessel in which a tungsten crucible containing a sufficient amount of a titania powder had been disposed beforehand in an upper part thereof. crucible was heated to thereby vaporize the titania while stirring the powder  $B_2$ . Thus, the powder in the rotary mixing vessel was coated with titania to obtain powder В. The fluoride-coated titania/magnesium titania/magnesium fluoride-coated powder B obtained had composed of dispersibility was and satisfactory This powder C had a spectral independent particles. reflection curve having a peak wavelength of 500 nm and had a reflectance at the peak wavelength of 88%. blue-green.

The refractive index and film thickness of each of the first to third layers are shown in Table 2.

Table 2

Coating Layers	Refractive Index	Film Thickness (nm)
First layer: titania film	2.6	52
Second layer: magnesium fluoride film	1.38	101
Third layer: titania film	2.6	48

### EXAMPLE 3

Intended use: powder for cosmetic material

First layer: titania coating

To 10 g of an acrylic powder (average particle diameter, 1.5 µm; specific gravity, 1.4) was added 250 ml of ethanol to disperse the particles. The container was heated with an oil bath to keep the temperature of the liquid at 55°C. Thereto was added 3.5 g of titanium isopropoxide. This mixture was stirred. A solution prepared by mixing 30 ml of ethanol with 3.5 g of water was added dropwise to the above mixture over 60 minutes, and the resultant mixture was allowed to react for 2 This reaction mixture was diluted and washed with a sufficient amount of ethanol and then dried with a vacuum dryer at 180°C for 8 hours. After the drying, titania-coated powder C1 was obtained. The titaniahad powder obtained satisfactory coated  $C_1$ 

dispersibility and was composed of independent particles. This powder had a spectral reflection curve having a peak wavelength of 455 nm and had a reflectance at the peak wavelength of 32%. It was pale-blue.

Second layer: polystyrene coating

To 600 g of distilled water was added 100 g of styrene monomer. While this mixture was heated to 70°C under stirring, sodium lauryl sulfate was added thereto to emulsify the monomer. With this emulsion was mixed 25 g of the titania-coated powder  $C_1$ . The resultant mixture was agitated at a high speed to sufficiently mix ammonium persulfate aqueous An the ingredients. solution was added thereto in an amount of 10% to initiate a polymerization reaction. The mixture was allowed to react for 4 hours under stirring. After completion of the reaction, the reaction mixture was diluted with 2 liters of distilled water, supernatant was discarded by decantation to collect the This precipitate was dried on a filter precipitate. paper to obtain polystyrene-titania-coated powder  $C_2$ . The polystyrene-titania-coated powder  $C_2$  obtained had was composed dispersibility and satisfactory independent particles.

Third layer: titania coating

To 10 g of the polystyrene-titania-coated powder  $\mathrm{C}_2$  was added 250 ml of ethanol to disperse the particles. The container was heated with an oil bath to keep the Thereto was added temperature of the liquid at 55°C. This mixture was 3.4 g of titanium isopropoxide. stirred. A solution prepared by mixing 30 ml of ethanol with 3.4 g of water was added dropwise to the above mixture over 60 minutes, and the resultant mixture was This reaction mixture was allowed to react for 2 hours. diluted and washed with a sufficient amount of ethanol and then dried with a vacuum dryer at 180°C for 8 hours. After the drying, titania-polystyrene-coated powder C The titania-polystyrene-coated powder C was obtained. satisfactory dispersibility and had obtained composed of independent particles. This powder C had a spectral reflection curve having a peak wavelength of 448 nm and had a reflectance at the peak wavelength of 45%. It was blue.

The refractive index and film thickness of each of the first to third layers are shown in Table 3.

Table 3

Coating Layers	Refractive Index	Film Thickness (nm)
First layer: titania film	2.3	50
Second layer: polystyrene film	1.5	75
Third layer: titania film	2.3	49

#### EXAMPLE 4

Intended use: powder for cosmetic material

First layer: titania coating

To 10 g of an acrylic powder (average particle diameter, 1.5  $\mu m$ ; specific gravity, 1.4) was added 250 The container ml of ethanol to disperse the particles. was heated with an oil bath to keep the temperature of Thereto was added 4.5 g of titanium the liquid at 55°C. A solution This mixture was stirred. isopropoxide. prepared by mixing 30 ml of ethanol with 4.5 g of water was added dropwise to the above mixture over 60 minutes, and the resultant mixture was allowed to react for 2 This reaction mixture was diluted and washed hours. with a sufficient amount of ethanol and then dried with a vacuum dryer at 180°C for 8 hours. After the drying, titania-coated powder  $D_1$  was obtained. The titaniasatisfactory obtained had  $D_1$ coated powder dispersibility and was composed of independent particles. This powder  $D_1$  had a spectral reflection curve having a peak wavelength of 545 nm and had a reflectance at the peak wavelength of 31%. It was green.

Second layer: polystyrene coating

To 600 g of distilled water was added 127 g of styrene monomer. While this mixture was heated to  $70^{\circ}\text{C}$ under stirring, sodium lauryl sulfate was added thereto to emulsify the monomer. With this emulsion was mixed 25 g of the titania-coated powder  $D_1$ . The resultant mixture was agitated at a high speed to sufficiently mix persulfate ammonium aqueous the ingredients. An solution was added thereto in an amount of 10% to The mixture was initiate a polymerization reaction. allowed to react for 4 hours under stirring. After completion of the reaction, the reaction mixture was diluted with 2 liters of distilled water, supernatant was discarded by decantation to collect the This precipitate was dried on a filter precipitate. paper to obtain polystyrene-titania-coated powder  $D_2$ . The polystyrene-titania-coated powder  $D_2$  obtained had satisfactory dispersibility and was composed independent particles.

Third layer: titania coating

To 10 g of the polystyrene-titania-coated powder  $\mathrm{D}_{\mathrm{2}}$  was added 250 ml of ethanol to disperse the particles. The container was heated with an oil bath to keep the temperature of the liquid at 55°C. Thereto was added 4.5 g of titanium isopropoxide. This mixture was stirred. A solution prepared by mixing 30 ml of ethanol with 4.5 g of water was added dropwise to the above mixture over 60 minutes, and the resultant mixture was allowed to react for 2 hours. This reaction mixture was diluted and washed with a sufficient amount of ethanol and then dried with a vacuum dryer at 180°C for 8 hours. After the drying, titania-polystyrene-coated powder  $D_3$ The titania-polystyrene-coated powder  $D_3$ was obtained. dispersibility and satisfactory obtained had composed of independent particles. This powder  $D_3$  had a spectral reflection curve having a peak wavelength of 544 nm and had a reflectance at the peak wavelength of 43%. It was green.

Fourth layer: polystyrene coating

To 600 g of distilled water was added 127 g of styrene monomer. While this mixture was heated to 70°C under stirring, sodium lauryl sulfate was added thereto to emulsify the monomer. With this emulsion was mixed

25 g of the titania-polystyrene-coated powder D3. The resultant mixture was agitated at a high speed to sufficiently mix the ingredients. An aqueous ammonium persulfate solution was added thereto in an amount of 10% to initiate a polymerization reaction. The mixture was allowed to react for 4 hours under stirring. completion of the reaction, the reaction mixture was diluted with 2 liters of distilled water, and the supernatant was discarded by decantation to collect the This precipitate was dried on a filter precipitate. paper to obtain polystyrene-titania-coated powder D4. The polystyrene-titania-coated powder D4 obtained had of was composed satisfactory dispersibility and independent particles.

## Fifth layer: titania coating

To 10 g of the polystyrene-titania-coated powder D<sub>4</sub> was added 250 ml of ethanol to disperse the particles. The container was heated with an oil bath to keep the temperature of the liquid at 55°C. Thereto was added 4.5 g of titanium isopropoxide. This mixture was stirred. A solution prepared by mixing 30 ml of ethanol with 4.5 g of water was added dropwise to the above mixture over 60 minutes, and the resultant mixture was allowed to react for 2 hours. This reaction mixture was

diluted and washed with a sufficient amount of ethanol and then dried with a vacuum dryer at 180°C for 8 hours. After the drying, titania-polystyrene-coated powder D The titania-polystyrene-coated powder D was obtained. satisfactory dispersibility and obtained had composed of independent particles. This powder D had a spectral reflection curve having a peak wavelength of 552 nm and had a reflectance at the peak wavelength of Ultraviolet light green. 58%. It was wavelengths of 300 nm and smaller was absorbed by the titania films, and the reflectance in this region was 1% or lower.

The refractive index and film thickness of each of the first to fifth layers are shown in Table 4.

Table 4

Coating Layers	Refractive Index	Film Thickness (nm)
First layer: titania film	2.3	59
Second layer: polystyrene film	1.5	92
Third layer: titania film	2.3	59
Fourth layer: polystyrene film	1.5	93
Fifth layer: titania film	2.3	60

#### EXAMPLE 5

Intended use: powder for cosmetic material

First layer: titania coating

To 10 g of an acrylic powder (average particle diameter, 1.5 µm; specific gravity, 1.4) was added 250 ml of ethanol to disperse the particles. The container was heated with an oil bath to keep the temperature of the liquid at 55°C. Thereto was added 5.5 g of titanium This mixture was stirred. A solution isopropoxide. prepared by mixing 30 ml of ethanol with 5.5 g of water was added dropwise to the above mixture over 60 minutes, and the resultant mixture was allowed to react for 2 This reaction mixture was diluted and washed hours. with a sufficient amount of ethanol and then dried with a vacuum dryer at 180°C for 8 hours. After the drying, titania-coated powder  $E_1$  was obtained. The titaniaobtained had satisfactory powder  $\mathbf{E}_1$ coated dispersibility and was composed of independent particles.

Second layer: silver metal coating

Into a silver solution prepared beforehand was dispersed 20 g of the titania-coated powder  $E_1$  under stirring. While the particles were kept dispersed by stirring, 600 ml of a reducing solution was added thereto. This mixture was stirred for 30 minutes. As a

result, silver metal-titania-coated powder  $\mathbf{E}_{2}$ was obtained, which had satisfactory dispersibility. The solution above silver solution and reducing prepared in the following manners. In preparing the silver solution, 8.75 g of silver nitrate was dissolved in 300 ml of distilled water. Since a precipitate of silver oxide generated, ammonia water (29%) was added into complex ions. the precipitate changed until solution prepared beforehand by Subsequently, a dissolving sodium hydroxide in 300 ml of distilled water Since a precipitate of silver oxide was added. generated again, ammonia water (29%) was added until the precipitate changed into complex ions. Thus, the silver reducing solution was The solution was prepared. prepared by dissolving 45 g of glucose in 1 liter of water, adding 4 g of tartaric acid and dissolving the same, boiling the resultant solution for 5 minutes, cooling the solution, and then adding 100 ml of ethanol.

## Third layer: titania coating

Into 250 ml of ethanol was dispersed 10 g of the silver metal-titania-coated powder  $E_2$ . The container was heated with an oil bath to keep the temperature of the liquid at 55°C. Thereto was added 4.5 g of titanium isopropoxide. This mixture was stirred. A solution

prepared by mixing 30 ml of ethanol with 5.5 g of water was added dropwise to the above mixture over 60 minutes, and the resultant mixture was allowed to react for 2 This reaction mixture was diluted and washed with a sufficient amount of ethanol and then dried with a vacuum dryer at 180°C for 8 hours. After the drying, titania-silver metal-coated powder E was obtained. titania-silver metal-coated powder E obtained had of composed and satisfactory dispersibility was This powder had a spectral independent particles. reflection curve having a bottom wavelength of 585 nm, from which the reflectance increased toward both sides. The powder E had a maximum reflectance of 45% and was In the infrared region, the reflectance purplish-red. increased throughout the range from 780 to 910 nm due to and the maximum silver film, reflection by the reflectance was 60%. In the ultraviolet region, however, ultraviolet light having wavelengths of 300 smaller was absorbed by the silver film and the titania films, and the reflectance in this region was not higher than 5%.

The refractive index and film thickness of each of the first to third layers are shown in Table 5.

#### INDUSTRIAL APPLICABILITY

As described above, according to the present invention, a pigment powder retaining a stable color tone even in long-term storage can be provided which comprises a lightweight base particle and is capable of being colored without using a dye or pigment and with which not only a pigment for monochromatic color inks, e.g., blue, green, or yellow inks, can be obtained by dispersing the pigment powder into a fluid, but also a filler for plastics/papers can be designed.

A monochromatic colored powder, e.g., a blue, green, or yellow powder, can be designed for use as a material for mascaras and eyebrow pencils. Since this powder for use as a material for cosmetics contains no dye or pigment, it is free from the fading caused by the deficiency or denaturation of a dye or pigment and is capable of retaining a bright color over long. It is also possible to design a powder of a multilayer structure which not only serves to color but functions

to absorb electromagnetic radiation having harmful wavelengths, such as ultraviolet and infrared rays, and thus prevent the radiation to reach the shin, and which is for use as a material for, e.g., UV-cutting (sunscreen) creams or foundations.

#### CLAIMS

- 1. A multilayer-coated powder comprising a base particle having a specific gravity of 0.1 to 10.5 and having thereon plural coating layers which are different from each other in refractive index.
- 2. The multilayer-coated powder according to claim 1, wherein at least one of the coating layers is an inorganic metal compound layer.
- 3. The multilayer-coated powder according to claim 2, wherein the inorganic metal compound layer is a metal oxide film layer.
- 4. The multilayer-coated powder according to claim 1, wherein at least one of the coating layers is a metal layer or an alloy layer.
- 5. The multilayer-coated powder according to claim 1, wherein at least one of the coating layers is an organic layer.
- 6. A pigment powder, comprising the multilayer-coated powder according to any one of claims 1 to 5.
- 7. A material for a cosmetic, comprising the multilayer-coated powder according to any one of claims 1 to 5.

#### 8. (Deleted)

9. (Added) The multilayer-coated powder according to claim 1, wherein the base particle is a spherical or pulverized particle.

- 10. (Added) The multilayer-coated powder according to claim 3, wherein at least one layer of the metal oxide films is formed by hydrolysis of a metal alkoxide.
- 11. (Added) The multilayer-coated powder according to claim 3, wherein at least one layer of the metal oxide films is formed by a reaction of an aqueous solution of a metal salt.
- 12. (Added) The multilayer-coated powder according to claim 1, wherein the thickness of each unit of the coating layer is determined by fixing a fundamental film thickness thereof which satisfies the following equation (1):

$$N \times d = m \times \lambda/4 \tag{1}$$

(wherein N represents a complex refractive index, d represents the fundamental film thickness, m represents an integer (natural number), and  $\lambda$  represents the wavelength at which the interference reflection peak or interference transmission peak appears, and N is defined by the following equation (2):

$$N = n + i\kappa \tag{2}$$

(wherein n represents the refractive index of each unit i represents complex number, coating layer, represents extinction coefficient)), and correcting the actual thickness of the each unit of the coating layers based on the function of the phase shift caused by the extinction coefficient K of refractive index, the phase shift occurring at film interfaces, and the peak shift attributable to refractive index dispersion and particle shape so that the each unit of the coating layers has an interference reflection peak or an transmission bottom at the same specific wavelength.

#### ABSTRACT

A pigment powder of a stable color tone which comprises a lightweight base particle and is capable of being colored without using a dye or pigment and with which not only a pigment for monochromatic color inks, e.g., blue, green, or yellow inks, can be obtained by dispersing the pigment powder into a fluid, but also a filler for plastics/papers can be designed; a powder for use as a material for color cosmetics which is a powder with which monochromatic colored powders, such mascaras or eyebrow pencils, can be designed and which is capable of absorbing ultraviolet and infrared rays harmful to the skin; and a lightweight powder which, when dispersed into a fluid, can give a material for an fluid, in particular, a electrorheological powder capable of giving a material for a colored fluid. multilayer-coated powder powders each is a comprising powder particles each comprising a nuclear particle 1 having a specific gravity of 0.1 to 10.5, e.g., an acrylic resin particle or an inorganic hollow particle, and having on the surface thereof plural thin coating layers 2 and 3 which are different in refractive index (titanium dioxide film, titania film, polystyrene film, silver metal film, etc.).

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Japan

Citizenship\_\_

# **DECLARATION AND POWER OF ATTORNEY**

As a below named inventor, I hereby declare that: My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: MULTILAYER-COATED POWDER the specification of which is attached hereto unless the following box is checked: was filed on December 10, 1998 as United States Application Number or PCT International Application Number 09/202,216 and was amended on \_ (if applicable). I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information of which is material to the patentability as defined in 37 CFR § 1.56. I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed. **Priority Not Claimed** Prior Foreign Application(s) 10/06/1996 Hei. 8-147417 Japan (Day/Month/Year Filed) (Number) (Country) Hei. 8-147418 <u>Japan</u> 10/06/1996 (Number) (Country) (Day/Month/Year Filed) Pat. Hei. 8-147421 Japan 10/06/1996 (Country) (Number) (Day/Month/Year Filed) I hereby claim the benefits under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below. (Application Number) (Filing Date) I hereby claim the benefits under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application. (Application Number) (Filing Date) (Status - patented, pending, abandoned) (Filing Date) (Status - patented, pending, abandoned) (Application Number) Thereby appoint John H. Mion, Reg. No. 18,879; Thomas J. Macpeak, Reg. No. 19,292; Robert J. Seas, Jr., Reg. No. 21,092; Darryl Mexic, Reg. No. 23,063; Robert V. Sloan, Reg. No. 22,775; Peter D. Olexy, Reg. No. 24,513; J. Frank Osha, Reg. No. 24,625; Waddell A. Biggart, Reg. No. 24,861; Louis Gubinsky, Reg. No. 24,835; Neil B. Siegel, Reg. No. 25,200; David J. Cushing, Reg. No. 28,703; John R. Inge, Reg. No. 26,916; Joseph J. Ruch, Jr., Reg. No. 26,577; Sheldon I. Landsman, Reg. No. 25,430; Richard C. Turner, Reg. No. 29,710; Howard L. Bernstein, Reg. No. 25,665; Alan J. Kasper, Reg. No. 25,426; Kenneth J. Burchfiel, Reg. No. 31,333; Gordon Kit, Reg. No. 30,764; Susan J. Mack, Reg. No. 30,951; Frank L. Bernstein, Reg. No. 31,484; Mark Boland, Reg. No. 32,197; William H. Mandir, Reg. No. 32,156; Scott M. Daniels, Reg. No. 32,562, Brian W. Hannon, Reg. No. 32,778, Abraham J. Rosner, Reg. No. 33,276; Bruce E. Kramer, Reg. No. 33,725; Paul F. Neils, Reg. No. 33,102, Brett S. Sylvester, Reg. No. 32,763 and Robert M. Masters, Reg. No. 35,603; my attorneys to prosecute this application and to transact all hysiness in the Patent and Trademark Office connected therawith, and request that all correspondence application and to transact all business in the Patent and Trademark Office connected therewith, and request that all correspondence about the application be addressed to SUGHRUE, MION, ZINN, MACPEAK & SEAS, PLLC, 2100 Pennsylvania Avenue, N.W., Washington, D.C. 20037. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. Takafumi Atarashi January 13, 1999 First Inventor\_ Last Name First Name Tokyo, Signature\_ Residence Post Office Address c/o NITTETSU MINING CO., LTD., Japan Hirai-aza-Hakeshita, Hinode-machi, Citizenship\_ Nishitama-gun, Tokyo, Japan 2-00 Katsuto Nakatsuka Date January 13, 1999 Second Inventor\_ First Name Last Name Signature Residence Post Office Address 3-5-1403, Moniwadai 4-chome, Taihaku-ku,

Sendai-shi, Miyaqi,

FIG. 1

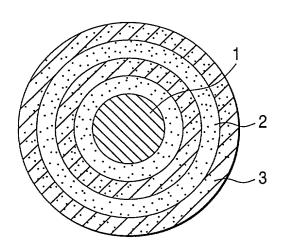


FIG. 2

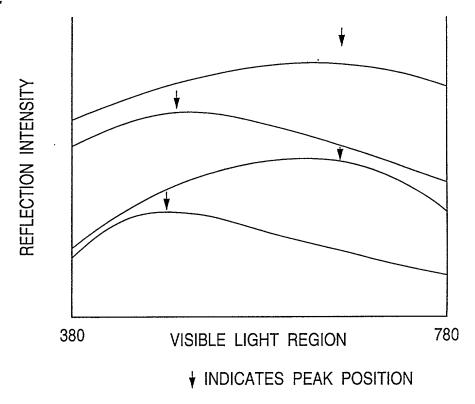


FIG. 3

